

POST OFFICE BOX 330 • BRYN MAWR, PENNSYLVANIA 19010

PHONE 610 525-5534 • FAX 610 525-5534 • E-MAIL  
MSCHWA6814@AOL.COM

# MARK D. SCHWARTZ, ESQUIRE

THE PRACTICE OF LAW

Mark D. Schwartz Esq.  
300 Sandcastle Dr.  
Bryn Mawr, PA 19010  
*Sole Proprietorship*

## **JANUARY 1994 TO PRESENT**

COMPLEX LITIGATION AND  
NEGOTIATION

CONDUCTED NATIONWIDE

**Philosophy and Approach:** My successful handling of many different types of matters, many of which had previously been handled, or mishandled by specialized departments of large firms, is a function of my exclusive attention to each matter, one case at a time, from start to successful conclusion.

- High Profile litigation
- Representation of Clients involving Matters of Media Interest
- Employment Discrimination & Whistleblowing Matters
- Representation of personal and charitable trusts
- Commercial Litigation
- Corporate counsel and acquisitions
- Selected criminal case representation
- Shareholder Rights
- Estate litigation
- Entertainment law
- Special Education Matters
- Commercial Real Estate negotiation
- Multi-faced investment Banking Activities-angel financing,  
1031 real estate exchanges (\$100 million approx. value), recovery refinancing, asset sales including  
cable television, system sales, offshore acquisitions

Representative clients have included a prominent high net worth family requiring a range of services included above, an information technology company, a top tier college and its

PUBLIC FINANCE: INVESTMENT BANKING

**1986-1994**

Prudential-Bache Securities-  
Manager Mid-Atlantic Region

Prescott Ball & Turben, Inc.

A. Webster Dougherty & Co.,  
Inc

-Responsibilities included  
running a public finance  
department, supervising  
bankers, securing and structuring  
underwritings approximating \$3  
billion

-Deals ranged in size from \$2  
million to \$600 million.

-Financial advisory work done  
for the State of Pennsylvania and  
Philadelphia.

GOVERNMENT

**1975-1976**

Legislative Assistant to House Majority Leader

,

K. Leroy Irvis, Pennsylvania House of  
Representatives

Responsibilities included  
Directorship of the House  
Rules Committee, speech  
writing, policy development,  
maintaining House bill  
consideration calendar,  
authorship of legislation,  
administrative agency oversight.

APPOINTMENTS

**July, 1989- September, 1994**

Commissioner- Pennsylvania Independent  
Regulatory Review Commission

Appointed and reappointed by two  
successive Speakers of the  
Pennsylvania House of  
Representatives to this body which  
is responsible for approval and  
oversight of all regulations issued  
by Commonwealth agencies

**1992**

Board Member- Philadelphia  
Crime Prevention  
Association, member Finance  
Committee

**1991**

Appointed by Philadelphia Mayor  
Edward Rendell to Mayor's  
Transition Team and Mayor's  
Private Sector Task Force

**Summer, 1988**

Counsel to the Chair- Credentials Committee  
of the Democratic National Committee's  
Presidential Convention

**1980-1985**

Trustee, Allegheny County Community  
College- Assistant Secretary and Executive  
Committee Member

**1978-1989**

Member, PA Juvenile Justice & Delinquency  
Prevention Advisory Committee to the  
Governor's Justice Commission

Appointed and reappointed by two successive  
Governors

**1981-1982**

Board of Directors- Pittsburgh community  
radio station WYEP

## TEACHING EXPERIENCE

**1982-1987**

Adjunct Professor- University of Pittsburgh  
Graduate School of Business

Teaching experience at Executive MBA, MBA  
and undergraduate levels with an emphasis on  
business law and ethics, governmental and  
regulatory process

## PUBLICATIONS

In addition to media coverage of his cases  
already noted,, Mr. Schwartz has been  
frequently quoted and /or featured in pieces  
by major publications, which include the Wall  
Street Journal, New York Times, Chicago  
Tribune, Fortune Magazine, and The Bond  
Buyer. He has authored various Op-Ed pieces.

## EDUCATION

1979 University of Pittsburgh  
Juris Doctor

1975 Swarthmore College  
Bachelor of Arts with Honors

Honors: Nominated for  
Rhodes, Marshall and  
Fulbright fellowships

Activities: Swarthmore  
College Committee on Educational Policy

1971 Shady Side Academy  
Preparatory School, graduated Cum Laude

Honors: Russian Language  
Award, Western Pa. Student United  
Nations Speaking Award, Certificate  
d'honneur-National French Contest,  
Exceptionally Able Youth Award, George  
Baton Sheetz History Prize, Aurelian  
Leadership and Scholarship Award (given  
by Yale University after a vote of the  
Shady Side Faculty and senior class), the  
Lowell Innes Leadership Award.

Activities: Student  
Government, drama,  
publications, music, soccer,  
squash, tennis.

-1974 Summer Activities  
Various internships -  
Pennsylvania House of  
Representatives  
United  
States Congress  
Neighborhood Legal  
Services

